



## N THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Jeffrey Wilson and Robert Stafford Harding

Serial No.

10/679,529

For

TELECOMMUNICATIONS SERVICES APPARATUS

Filed

October 6, 2003

Examiner

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745 Fifth Avenue

New York, NY 10151

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pplicant, Assigned or Registered Representative

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January 8, 2004

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# TRANSMITTAL OF CERTIFIED COPY OF PRIORITY APPLICATION

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Applicants enclose herewith a certified copy of Great Britain Patent Application No. 0223576.0 filed October 11, 2002 and which has been claimed for priority benefits in the above referenced patent application.

-1- 00167937



Applicants wish to note that, although the Notice to File Missing Parts indicates that large entity status applies to this application, a statement was filed with the USPTO, subsequent to the filing of the application, claiming small entity status in this matter.

The Commissioner is authorized to charge any additional fees that may be required to Deposit Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP

By:

Ronald R. Santucci Reg. No. 28,988

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Dated 15 October 2003

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#### Patents Form 1/77 1/77 Patents Act 1977 (Rule 16 THE PAT The Patent Office 1 1 OCT 2002 Request for grant of a patent (See the notes on the back of this form. You can also get Cardiff Road an explanatory leaflet, from the Patent Office to help NEWPORT you fill in this form) Newport Gwent NP9 1RH 1. Your reference GML2619 110CT02 E754867-1 C50900. 2. Patent application number 0223576.0 F01/7700 0.00-0223576.0 (The Patent Office will fill in this part) Full name, address and postcode of the or TELSIS HOLDINGS LIMITED 3. of each applicant (underline all surnames) Barnes Wallis Road Segensworth East Fareham Hampshire PO15 5TT 6657688001 Patents ADP number (if you know it) If the applicant is a corporate body, give England/GB the country/state of its incorporation TELECOMMUNICATIONS SERVICES APPARATUS Title of the invention 4. Name of your agent (if you have one) 5. Barker Brettell Medina Chambers "Address for service" in the United Town Quay Kingdom to which all correspondence Southampton SO14 2AQ should be sent (including the postcode) 07442494001 Patents ADP number (if you know it) Priority application number Date of Filing Country If you are declaring priority from one or (day/month/year) (if you know it) more earlier patent applications, give the country and the date of filing of the or of NONE each of these earlier applications and (if you know it) the or each application number Date of filing Number of earlier application If this application is divided or otherwise (day/month/year) derived from an earlier UK application, give the number and the filing date of the earlier application 8. Is a statement of inventorship and of right to grant of a patent required in support of this request (Answer 'Yes' if: YES a) any applicant named in part 3 is not an inventor, or b) there is an inventor who is not named as an applicant, or c) any named applicant is a corporate body. See note (d))

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#### TELECOMMUNICATIONS SERVICES APPARATUS

#### Field of the Invention

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This invention relates to telecommunications services apparatus and in particular services for the delivery of text messages to subscribers of telecommunications networks.

The term 'text message' is used herein to include variants such as Enhanced Messaging, Multimedia Messaging and the like.

#### Background to the Invention

Telecommunications services in general may be controlled to some degree by the sender or by the receiver. The message content, the message medium and the timing of transmission are normally under the control of the sender. However, the recipient may for example influence the delivery of a communication by activating a message storage facility or by switching his phone off. Generally facilities available to a recipient for control of incoming communications are quite limited.

Text messaging services are prevalent in modern telecommunications networks and are enjoying continued growth. The networks are handling increasingly large volumes of message traffic, and so many networks are exploiting new technologies to improve the efficiency of message transmission.

GSM networks are specified in the relevant standards, and these define that the GSM short messaging services (SMS) are implemented by a store and forward device known as a Short Message Service Centre (SMSC). This technique is analogous to recorded voicemail, and was most beneficial in the early days of GSM, when handsets were less portable that they are today, battery life was poor and radio coverage was limited. At that time only a small proportion of text messages were deliverable at the first attempt, and so a store and forward device was appropriate.

Today the converse is true, and many networks report that 80% or more 5 of messages are deliverable on the first attempt. Networks are therefore turning to alternative techniques for message handling to avoid the delays and cost involved in sending all messages via a storage medium. Typically, improved efficiency is gained by the use of SMS Routers in the network that allow each message to be selectively delivered by an 10 appropriate method according to the characteristics of the message. Person-to-person traffic may be directly delivered to the recipient, and only if the recipient is unreachable is the message then passed on to a store-and-forward device instead. SMS Routers may implement throttling, load balancing, address translation and other facilities within 15 the network to improve the efficiency or scope of services offered to subscribers.

Many facilities are available to the sender of SMS to allow him to choose the method of delivery. Some networks have partially implemented the format translations specified by the GSM standards, allowing for example a sender to indicate that a message is to delivered in fax format, and to send it to a fixed-line or mobile fax number. Also some networks support various text prefixes within the body of the message to control such features as delayed or timed delivery. Text to speech systems have been implemented by a number of operators, allowing messages to be read out during a voice call, but the feature is only selectable by the sender.

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We have appreciated that it would be desirable to enable the receiver to have more control over the mode of delivery of text messages. We consider that it may be desirable for the receiver to be able to control, for example, the timing or method of delivery, or the medium by which delivery is made.

For the recipient, SMS currently offers few options. For example, although specified by the GSM standards, there is no divert facility available for text, and no translation to a different medium is available to be specified by the recipient.

- 10 Prior art for recipient control in telephone systems includes-
  - PBX facilities for diversion, forwarding, do not disturb etc.
  - · Voice mail that can be selectively switched on or off
  - Dual or multiple SIM card support in some networks which gives some limited control of to which terminal a message is delivered.
- The present invention is aimed at providing a means by which network operators may offer a greatly enhanced level of control to text message recipients.

#### Summary of the Invention

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According to one aspect of the invention we provide a method of controlling delivery of text messages to a subscriber in a telecommunications services apparatus, the method comprising the steps of the subscriber making a selection as to the mode or modes of delivery

that the subscriber requires for at least one future text message, or category of future text messages, the subscriber's requested selection being implemented by a message processing means which is part of the home network with which the subscriber's mobile telephone is normally associated, the arrangement being such that any text messages intended by the sender to be delivered to the said subscriber, as intended receiver thereof, are directed to the said message processing means which then implements the delivery mode previously selected by the subscriber.

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According to a second aspect of the invention we provide a telecommunications services apparatus comprising a message processing means that is capable of storing a selection of one or more selectable modes of delivery of a text message made by a subscriber to a network incorporating the message processing means and to implement the previously selected mode or modes of delivery on receipt by the message processing means of a text message, intended for receipt by said subscriber, by forwarding the message to one or more delivery paths of the message processing means.

The message processing means may be an SMSC or an STP (signalling transfer point), for example, but preferably the message processing means is an SMS router.

A third aspect of the invention is a telecommunication network comprising a telecommunications services apparatus in accordance with the second aspect of the invention and comprising an HLR (home location register) and a signal processing means, said signal processing means being configured in association with the HLR to intercept routing queries sent to the HLR of said network from another network, for receiving a text message from such another network, to communicate with the HLR

but to provide a modified address which will cause the text message from said another network to be sent to the message processing means which will then effect delivery in accordance with a previously selected mode or modes of delivery.

The message processing means may be, for example, an HLR or other signalling means, but preferably the message processing means is an SMS router.

In one embodiment of the invention, alternative delivery means may be selected by a potential recipient ('the recipient') of text messages. The recipient may choose that his text messages should be received by a different method, for example by being read out in a voice call. This feature would be useful to a car-driver who is otherwise unable to receive text messages while travelling. Examples of alternative delivery means include-

• delivery to a fax machine

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- delivery to a fax mailbox
- delivery to an email address
- delivery by text-to-speech conversion and read-out in a voice call
- delivery to a pager

In a further embodiment of the present invention, additional delivery means may be selected by the recipient. The recipient may choose that in addition to being delivered by SMS in the normal way, his text messages should also be received by a different method. Examples of additional delivery means include-

- delivery to a fax machine
- delivery to a fax mailbox
- delivery to an email address
- delivery by text-to-speech conversion and read-out in a voice call
- delivery to a pager

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In some cases, the additional delivery means may also be useful as a means of preserving or archiving the received messages.

The delivery method may be selected manually by the recipient in advance of potential receipt of messages. The means of control may be by SMS or USSD or another method.

The delivery means may be set up in advance by the recipient to automatically select between two or more delivery methods. The means of control may be by SMS, USSD, interactive voice call or another method. Automatic selection may for example be on the basis of time of day, being in or out of radio coverage, by the insertion of a handset into

or removal of the handset from a car kit, or by being in a specified 'home' cell.

In a further application of the invention, the means, timing, allowability or other aspect of the delivery process may be configured so as to be determined by a characteristic or characteristics of the message. For example filtering could be performed according to text matching or according to an address white list or black list. Certain origination addresses for example that matched against a list could be delivered immediately while others were only delivered between certain hours. Filtering according to textual content could allow the user to specify key words, names or phrases that would trigger certain delivery rules.

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A key feature of the present invention is that it permits recipients of text messages to enjoy some control over how and/or when messages are delivered to them, which is a facility not presently possible in current networks. The invention is implemented by enabling a means for the potential recipient of messages to determine in advance the behaviour of one or more SMS Routers in handling messages destined for that recipient. The recipient's network can use known techniques to ensure that both mobile originated and mobile terminated messages pass through SMS Routers. The novel combination of these techniques provides the recipient with control over message delivery.

Two classes of message delivery may be considered-

1. Mobile Originated (MO) where the sender and the recipient are subscribers to the same network, and the text message arrives at the SMS router in MO format. According to options previously configured by the intended recipient, the SMS Router may deliver the message by one or

more methods. For example the recipient may have specified that text messages should be converted to voice and delivered in a voice call.

2. Mobile Terminated (MT) where the sender subscribes to network A and the recipient to network B, and so the MO text message first passes through the SMSC of network A, where it is converted to MT format. Without known special steps being taken by network B, the MT message would pass directly to the recipient without necessarily passing through one of the SMS Routers in network B. Indeed if both parties are roaming on other networks, the text message may never normally pass though network B at all. Since the invention is implemented by the SMS Router in network B, it is essential to ensure that the MT message passes through such an SMS Router regardless of to which network the sender and recipient are currently attached.

In the first (MO) case, it is straightforward to ensure that all MO messages pass through an SMS router, and many networks are implementing architectures that do this for a variety of applications including-

- network overload protection
- separation ("grooming") of high volume traffic

value added services

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In these cases, the network arranges that all MO traffic is passed through MS Routers prior to arriving at an SMSC. This allows filtering and load balancing operations for example to be carried out, thus controlling the

network loading and optionally providing additional features and services. The present invention may be implemented as a service on such an SMS Router.

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In the second (MT) case, a known technique called SRI aliasing may be used to ensure that the text message passes to an equipment in network B. Whenever any other network wishes to send a text message to a recipient who subscribes to network B, a routing query called "Send Routing Information for Short Message (SRI-SM)" will be sent from the originating network's SMSC to the HLR in network B. Network B's signalling architecture can be configured to direct these SRI-SM messages via an SMS Router in Network B. The SMS Router may then respond on behalf of the HLR, but instead of directing the MT text message to the destination mobile as the HLR would have done, it may direct the MT text message to be routed to an SMS Router in Network B, which SMS Router is configured to implement the invention. This redirection may be made conditional on the recipient having subscribed to a relevant value-added service, or may be unconditional.

Once the MT text message has arrived at an SMS router in network B, it may be handled in essentially the same way as for the MO case, with the exception that the message is not ever routed to the SMSC in network B. The SMSC for this message is in network A, and if direct delivery to the recipient is attempted but unsuccessful, then control is passed back to the originating SMSC.

The preceding description shows how both MO and MT messages for a recipient in network B may be caused to pass through an SMS Router that implements the present invention. An additional necessary requirement is

for the recipient to be provided with a means to control the delivery, and this will now be discussed.

Automatic or manual control of the reception method could be implemented by USSD. Using this technique, commands may be sent to network B from any network on which the recipient may be roaming. By careful choice of the USSD commands, they may chosen from a subset which are specified by GSM to be passed to the HLR of the subscriber's home network (network B). The HLR may then be configured to pass these particular commands to the SMS Router(s) in order to configure the desired delivery options.

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Automatic or manual control of the reception method could be implemented by SMS. Using this technique commands may be sent by the subscriber and intercepted by the message processing means. The interception could be performed either by the subscriber sending the command messages to a predefined destination number, or by another means. The intercepted commands are then used to configure the desired delivery options.

Sim Toolkit could be used to provide a more friendly user interface. Instead of entering USSD commands, the delivery options could then be selected from a handset menu.

SIM toolkit could also be used to automate the configuration of certain delivery options, for example always setting up delivery by voice when the handset is inserted into a car kit.

Using the techniques described above, potential recipients of messages could be offered services including but not limited to-

- normal message delivery
- delayed message delivery during certain hours
- Diversion of messages to fixed line when in home cell
  - · diversion of messages to an alternative mobile number
  - · diversion of messages on a time of day basis
  - conversion of messages to email, fax or other medium
  - conversion of messages to voice for delivery in a voice call
- 10 archiving of received messages
  - special handling of certain messages according to origination number, e.g. white lists, black lists
  - · removal of 'spam' messages
  - filtering of messages by address information or content
- filtering or barring of messages with unsuitable content

#### any combination of the above

The invention will now be further described, by way of example only, with reference to the accompanying drawings.

## **Brief Description of Drawings**

## 5 In the drawings:-

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Figure 1 is a schematic of a network incorporating an SMS router in accordance with the invention, controlling alternative delivery paths, and showing communication paths between two mobile telephones, currently operating on the same network, and the SMS router.

Figure 2 is a schematic similar to Figure 1 but showing the corresponding communication paths when the two mobile telephones are currently operating on two different networks.

## **Description of Preferred Embodiments**

With reference to Figure 1, a message sender [1] is connected to a telecommunications network and wishes to send a text message to a recipient [2] who subscribes to the same network. The message passes via an SMS Router [3]. The recipient may configure delivery options in the SMS router by means of commands (e.g. using USSD) sent to the router via the HLR [4]. The router is connected to a text-to-speech interface [6], an email interface [7] and a fax interface [8]. If the router detects that the message is for a recipient who has configured special delivery settings, e.g. delivery by email to an email account [9] or by fax to a fax machine [10], then the router can perform delivery via the

relevant interface in addition to, or instead of, the normal SMS delivery. In other cases the router delivers the text message either directly to the recipient [2] or via the SMSC [11].

With reference to Figure 2, a message sender [1] is connected to a telecommunications network A and wishes to send a text message to a recipient [2] who subscribes to network B. The message passes first via the service centre [12] of network A, where a routing query is made to the HLR [4] of network B. This query is caused by network B to pass through an SMS router [13]. If the router detects that the message is for a recipient who has configured special delivery settings, e.g. delivery by email to an email account [9] or by fax to a fax machine [10], then the router responds to the routing query, giving the address of the SMS Router [3] in network B.

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The message then passes from the SMSC [12] to the SMS Router [3]. As before, the recipient may configure delivery options in the SMS router [3] by means of commands (e.g. using USSD) sent to the router via the HLR [4]. If the router detects that the message is for a recipient who has configured special delivery settings, e.g. delivery by email to an email account [9] or by fax to a fax machine [10], then the router can perform delivery via the relevant interface in addition to, or instead of, SMS delivery. SMS delivery if required is attempted directly to the recipient [2]. If this is unsuccessful, then the SMSC [12] is notified as normal may retry according to its internal schedule.

Routers [3] and [13] may or may not be the same router or logical group of routers.

The architecture described could also be utilised for legal interception applications.

# Glossary

EMS	Enhanced Messaging Service					
MAP	Mobile Application Protocol					
MMS	Multimedia Messaging Service					
МО	Mobile Originated					
MSC	Mobile Switching Centre					
MT	Mobile Terminated					
SMPP	Short Message Peer to Peer protocol					
SMS	Short Message Service of the GSM mobile telephone system					
SMS Router	Equipment which embodies the invention and filters and responds to certain text messages.					
SMSC	Short Message Service Centre					
SS7	CCITT Signalling System no 7					
TCP/IP	Transmission Control Protocol/Internet Protocol. A well known internet data protocol.					
HLR	Home Location Register					
USSD	Unstructured Supplementary Services Data					
STP	Signalling Transfer Point					

#### **CLAIMS**

- A method of controlling delivery of text messages to a subscriber in a telecommunications services apparatus, the method comprising the steps of the subscriber making a selection as to the mode or modes of delivery that the subscriber requires for at least one future text message, or category of future text messages, the subscriber's requested selection being implemented by a message processing means which is part of the home network with which the subscriber's mobile telephone is normally associated, the arrangement being such that any text messages intended by the sender to be delivered to the said subscriber, as intended receiver thereof, are directed to the message processing means which then implements the delivery mode previously selected by the subscriber.
  - 2. The method of claim 1 in which the message processing means is an SMS router.
- 3. The method of claim 1 or claim 2 in which a routing query from another network, made in response to a request from a user associated with said another network, is arranged to be intercepted by a signal processing means provided in said home network, said signal processing means then responding to the routing query on behalf of the HLR (home location register) of the home network to provide a modified address which causes the text message from said another network to be directed to said message processing means for implementation of said delivery mode.
- 4. The method of claim 3 in which the signal processing means is an 25 SMS router.

- 5. The method of any one of the preceding claims in which the available delivery modes comprise a mode providing a delayed message delivery during selected hours of the day.
- 6. The method of any one of the preceding claims in which the available delivery modes comprise a mode providing diversion of text messages to fixed line when the subscriber is in a home cell.
  - 7. The method of any one of the preceding claims in which the available delivery modes comprise a mode providing diversion of messages to an alternative mobile number.
- 10 8. The method of any one of the preceding claims in which the available delivery modes comprise a mode providing diversion of messages on a time of day basis.
  - 9. The method of any one of the preceding claims in which the available delivery modes comprise a mode providing conversion of messages to voice for delivery in a voice call.

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- 10. The method of any one of the preceding claims in which the available delivery modes comprise a mode providing special handling of some messages according to originator number.
- 11. The method of any one of the preceding claims in which the available delivery modes comprise a mode providing filtering of messages by address information or content.

- 12. The method of any one of the preceding claims in which the available delivery modes comprise a mode providing delivery by fax.
- 13. The method of any one of the preceding claims in which the available delivery modes comprise a mode providing delivery by e-mail.
- 5 14. The method of any one of the preceding claims in which the subscriber makes the selection by a USSD command.
  - 15. The method of any one of claims 1 to 13 in which the subscriber makes the selection by an SMS.
- 16. The method of any one of claims 1 to 13 in which the subscriber makes the selection by means of an interactive voice call.
  - 17. A method of controlling delivery of text messages substantially as described herein with reference to the accompanying drawings.
  - 18. A telecommunications services apparatus comprising a message processing means that is capable of storing a selection of one or more selectable modes of delivery of a text message made by a subscriber to a network incorporating the message processing means and to implement the previously selected mode or modes of delivery on receipt by the message processing means of a text message, intended for receipt by said subscriber, by forwarding the message to one or more delivery paths of the message processing means.

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19. A telecommunications network as claimed in claim 18 in which the message processing means is an SMS router.

20. A telecommunications network provided with apparatus as claimed in claim 18 and comprising an HLR (home location register) and a signal processing means, said signal processing means being configured in association with the HLR to intercept routing queries sent to the HLR of said network from another network, for receiving a text message from such another network, to communicate with the HLR but to provide a modified address which will cause the text message from said another network to be sent to the message processing means which will then effect delivery in accordance with a previously selected mode or modes of delivery.

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- 21. A telecommunications network as claimed in claim 20 in which the signal processing means is an SMS router.
- 22. A telecommunications services apparatus as claimed in claims 18
   and substantially as described herein with reference to the accompanying
   drawings.
  - 23. A telecommunications network comprising a telecommunications services apparatus as claimed in claim 22 and substantially as described herein with reference to the accompanying drawings.

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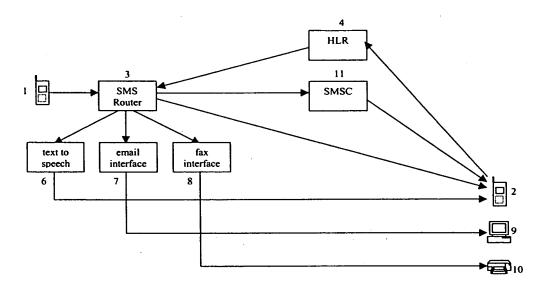


FIGURE 1

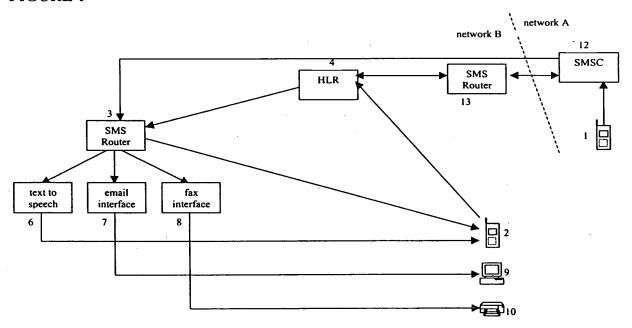


FIGURE 2

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